

致 : 道口证券有限公司
To : DaoKou Securities Limited

地址 : 香港湾仔港湾道23号鹰君中心14楼1406室
Address: Suite 1406, 14/F, Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
电话号码 Tel No.: (852) 2208 7800 / (852) 2208 7820
传真号码 Fax No.: (852) 2521 7700

客户股票转移指示

Client Instructions of Scripless Transfer

客户名称 _____ 户口号码 _____ 经纪号码 _____
Account Name : _____ Account Number : _____ AE Code : _____

本人/吾等特此通知贵公司

I/We hereby instruct your Company to :

指令类别

Instruction Type : 出 Deliver 入 Receive

付款指示 货银对付交收 无需付款交收
Payment Instruction : Delivery Versus Payment (DVP) Free of Payment

经纪名称 _____ 经纪户口号码 _____
Name of Counterparty : _____ Counterparty A/C No. : _____
联系人名称 _____ 电话 _____ 电邮 _____
Contact Name : _____ Tel: _____ Email: _____

股票市场 中国 美国 日本 新加坡 其他
Stock Market : CHINA / USA / JAPAN / SG / OTHER: _____
CDP NO. / DA Code (SG Market) : _____ DTC Code (US Market) : _____

结算日
Value Date : _____

股票号码 Stock Code	股票名称 Stock Name	ISIN 国际证券标识符	股票数目 No. of Shares	转仓款项 (港币) SI/ISI Payment (HKD)

本人/吾等确认上述股票的实益拥有权并没有因进行本交收指示而出现任何变动。本人/吾等亦承诺就本交收指示所可能令 贵公司遭受或承担的任何费用或开支, 当中包括但不限于印花税, 向贵公司作出弥补及使之获得弥补。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expense, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connection with this settlement instruction.

录音电话号码

Record Phone No. : _____

日期及时间

Date & time : _____

SIGN HERE

X

客户签署 (请用留存本公司之印鉴签署)

Client's Signature (s) (Please use signature(s)/chop(s) filed with Company)

公司专用 For Office Use Only			
CCASS Input by :	Request Rec'd on :	Signature Verified by :	Input Date :
BOS Input by:	Checked by:	Authorized by:	